



PLATFORM

# P1 INTUITIVE PENSION ACCOUNT

**Investor Details**

Client Name	<input type="text"/>	DOB	<input type="text"/>
NI Number	<input type="text"/>	P1 Client ID	<input type="text"/>
Client Address	<input type="text"/>		

**Transfer Instruction**

Scheme Name	<input type="text"/>	Policy Number	<input type="text"/>
Scheme Administrator (SA)	<input type="text"/>	Pension Scheme Tax Reference (PSTR)	<input type="text"/>
SA Email	<input type="text"/>	SA Telephone	<input type="text"/>
SA Address	<input type="text"/>		
Scheme Type	<input type="text"/>		
Estimated Transfer Value	<input type="text"/>	Full / Partial Transfer?	<input type="text"/>
Estimated Uncrystallised Value	<input type="text"/>	Estimated Crystallised Value	<input type="text"/>
MPAA Triggered?	<input type="text"/>	MPAA Date	<input type="text"/>
Transfer Value Guarantee Date	<input type="text"/>		

Is the existing plan subject to any existing/proposed:

Trustee in bankruptcy orders?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
Earmarking orders?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
Pension sharing orders?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
Any other receiving orders?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>

If yes, specify

If any of the above are answered yes, please contact us at [platform@p1-im.co.uk](mailto:platform@p1-im.co.uk) as this may affect our ability to accept the transfer.

**Client Authority**

Client Name	<input type="text"/>	DOB	<input type="text"/>
NI Number	<input type="text"/>	P1 ID	<input type="text"/>

I authorise and instruct the above scheme administrator to transfer the sums listed on the application directly to P1 Investment Management Ltd (P1), Gaudi Regulated Services Limited/Gaudi Trustees Limited (Gaudi) and Seccl Custody Ltd (Seccl).

I authorise P1, Gaudi, Seccl and the current provider named in this application to obtain and release information from each other in order to allow the transfer to proceed.

I understand that where any benefits being transferred are in Capped Drawdown they will only be accepted on the basis that they will be immediately converted to Flexi-Access Drawdown. I also understand that if I draw any income via Flexi-Access Drawdown I will become subject to the Money Purchase Annual Allowance, if I am not already subject to it. I hereby instruct that any Capped Drawdown benefits are converted to Flexi-Access Drawdown upon receipt.

I accept responsibility in respect of any claims, losses, expenses, additional tax charges or penalties that P1, Gaudi, Seccl and the current provider may incur as a result of incorrect, untrue or misleading information being given in this application.

I understand the risks associated to the transfer and accept that in order to comply with regulatory obligations P1, Gaudi, Seccl and the current provider named may need to verify my ID and residential address, and may use credit reference services and ask for documents to verify my ID and address.

I understand that until this application has been completed and is accepted, the responsibilities of P1, Gaudi and Seccl are limited to returning any funds received direct to the ceding provider.

I understand that when funds are being transferred, whether a full transfer or partial transfer, I am not entitled to receive pension benefits from these transferred funds until the transfer has fully completed.

I can confirm that I have received and understand the financial advice in relation to this request and the details of my financial adviser are shown below.

Signature	<input type="text"/>
Date	<input type="text"/>

**Transfer Declaration (Safeguarded Benefit Transfers) – For completion by financial advisers only**

Only complete this section where a transfer includes safeguarded benefits, other than those involving guaranteed annuity rates.

Due to the complex nature of transfers where safeguarded benefits are involved, we will not accept these transfers on either a non-advised or an execution-only basis. This is regardless of the transfer value amount or adviser permissions and as such, we do not accept 'insistent client' transfer requests.

**The transfer will only be accepted where the following criteria are met:**

The client is proposing to forfeit some, if not all, of their safeguarded benefits linked to the funds involved in this transfer. As the adviser, I confirm the following:

An appropriate transfer value analysis in line with COBS 19.1.2B has been conducted

The client has received a transfer value comparator in line with COBS 19.1.3A

The client understands how the key outcomes from the analysis and comparator contribute towards the recommendation

This application is being submitted on behalf of the client following a personal full advice recommendation to transfer

This is not an insistent client transfer

The adviser providing this advice has the appropriate qualification to do so

The firm transacting this transfer has the FCA permission of 'Advising on Pension Transfers and Pension Opt-outs'

<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

A transfer requested will only be accepted if all requirements have been met and the above boxes have been completed by the financial adviser signing this form. Failure to complete the form will delay the potential acceptance of the request. If any delays cause the transfer to not proceed within the Cash Equivalent Transfer Value (CETV) guarantee period that applies at the time this form is submitted, this may affect your transfer value. P1, Gaudi and Seccl will not be liable for any re-calculation fee or potential drop in the transfer value.

The request must be submitted with all documentation required by the ceding scheme to allow the transfer to proceed. P1, Gaudi and Seccl cannot guarantee that the transfer request will be processed by the end of the CETV guarantee period.

All documentation must be received at least 5 working days before the end of the CETV guarantee period. P1, Gaudi and Seccl accept no liability for costs, claims or liabilities arising from the CETV guarantee period being missed.

### Financial Adviser Declaration

I can confirm that the information provided on this form is correct.

Firm Name	<input type="text"/>	Firm FCA Number	<input type="text"/>
Adviser Name	<input type="text"/>	Adviser FCA Number	<input type="text"/>
Adviser Signature	<input type="text"/>		
Date	<input type="text"/>		

### Form References

**Transfer Request Form:** use this form for the transfer of an existing pension into your P1 Intuitive Pension Account.

**For Cash or Residual Cash Payments:** please make payment to the below bank details, quoting P1IMX- followed by the account ID number as the reference.

**Bank:** Lloyds Bank  
**Account Holder:** Seccl Custody Client Account  
**Sort Code:** 30 - 80 - 12    **Account:** 17190968

**Please send the completed form(s) to us at the following address:**

P1 Investment Management Limited  
 Senate Court  
 Southernhay Gardens  
 Exeter  
 EX1 1NT

If you have any questions or need help in completing the form(s), please contact Customer Services on 0333 241 4129 or email us at [platform@p1-im.co.uk](mailto:platform@p1-im.co.uk).

**Please note the following important information about transfers to the P1 Platform:**

Transfers of investments in the form of stock can take several weeks to complete. You can also choose to transfer in cash, in which case the holder of the investments will need to sell the investments and you will receive cash into your P1 account which you can then invest.

Transfers in the form of stock are subject to P1 Investment Management Ltd's agreement as to the acceptability of the investments to be transferred.